

QUASI-SELF-ADJOINT MAXIMAL ACCRETIVE EXTENSIONS OF NONNEGATIVE SYMMETRIC OPERATORS

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Summary. We give a new parametrization of all quasi-self-adjoint maximal accretive extensions and maximal sectorial extensions (with vertex at $z = 0$ and the acute semi-angle α) for a densely defined closed nonnegative symmetric operator. An application to point interactions model on the real line is considered.

Key words: nonnegative symmetric operators, quasi-self-adjoint, accretive, sectorial extensions, transversal operators, point interactions.

INTRODUCTION

Let \mathcal{H} be a separable Hilbert space with the inner product (\cdot, \cdot) . A linear operator T in \mathcal{H} is called accretive if $\operatorname{Re}(Tf, f) \geq 0$ for all $f \in D(T)$. An accretive operator T is called *maximal* accretive (m-accretive) if one of the equivalent conditions is satisfied [14]:

- the operator T has no accretive extensions in \mathcal{H} ;
- the resolvent set $\rho(T)$ is nonempty;
- the operator T is densely defined and closed, and T^* is accretive operator.

The resolvent set $\rho(T)$ of m-accretive operator contains the open left half-plane and

$$\left\| (T - zI_{\mathcal{H}})^{-1} \right\| \leq \frac{1}{|\operatorname{Re} z|}, \quad \operatorname{Re} z < 0.$$

It is well known [14] that if T is m-accretive operator, then the one-parameter semi-group:

$$T(t) = \exp(-tT), t \geq 0,$$

is contractive. Conversely, if the family $\{T(t)\}_{t \geq 0}$ is a strongly continuous semi-group of bounded operators in a Hilbert space \mathcal{H} , with $T(0) = I_{\mathcal{H}}$ (C_0 -semi-group) and $T(t)$ is a contraction for each t , then the generator T of $T(t)$:

$$Tu := \lim_{t \rightarrow +0} \frac{(I_{\mathcal{H}} - T(t))u}{t}, u \in D(T),$$

is an m-accretive operator in \mathcal{H} .

Let $\alpha \in [0; \pi/2)$ and let:

$$\mathcal{S}(\alpha) := \{z \in \mathbb{C} : |\arg z| \leq \alpha\},$$

be a sector on the complex plane \mathbb{C} with the vertex at the origin and the semi-angle α .

A linear operator T in a \mathcal{H} is called sectorial with vertex at $z = 0$ and the semi-angle α [14] if its numerical range:

$$W(T) = \{(Tu, u) \in \mathbb{C} : u \in D(T), \|u\| = 1\},$$

is contained in $\mathcal{S}(\alpha)$. If T is m-accretive and sectorial with vertex at $z = 0$ and the semi-angle α , then it is called m-sectorial with vertex at the origin and semi-angle α . We call shortly these operators m- α -sectorial. The resolvent set of m- α -sectorial operator T contains the set $\mathbb{C} \setminus \mathcal{S}(\alpha)$ and:

$$\|(T - zI_{\mathcal{H}})^{-1}\| \leq \frac{1}{\text{dist}(z, \mathcal{S}(\alpha))}, z \in \mathbb{C} \setminus \mathcal{S}(\alpha).$$

It is well-known [14] that a C_0 -semi-group $T(t) = \exp(-tT)$, $t \geq 0$ has *contractive* and *holomorphic* continuation into the sector $\mathcal{S}(\pi/2 - \alpha)$ if and only if the generator T is m- α -sectorial operator.

Let S be a linear, closed, densely defined non-negative symmetric operator on \mathcal{H} , i.e. $(Sf, f) \geq 0$ for all $f \in D(S)$ and S^* be its adjoint. A linear operator T possessing property:

$$S \subset T \subset S^* \tag{1}$$

is called quasi-self-adjoint (proper, intermediate) extensions of S . In particular, all self-adjoint extensions ($T = T^*$) satisfy (1).

We are interested in a description of all quasi-self-adjoint m-accretive and m-sectorial extensions of S . This problem is a part of more general Phillips problem [19] of a parametrization of all m-accretive extensions of a given densely defined accretive operator. It was established by Phillips that any closed densely defined accretive operator has an m-accretive extension. In order to obtain a description of all m-accretive extension Phillips proposed to use the approach connected with geometry of spaces with indefinite inner product. His approach has been used in [12] for ordinary differential operators and in [18] for an abstract positive definite symmetric operator with finite defect numbers. The fractional-linear transformation reduces the Phillips problem to the dual problem of a parametrization of all contractive extensions of a given non-densely defined contraction. Such parametrization has been obtained in [9]. The literature on

contractive extensions of a given contraction is too extensive and we refer in this matter on [7] and references therein. A special case is an existence and description of all nonnegative self-adjoint extensions. This case has been considered by J. von Neumann, K. Friedrichs, M. Krein and later by M. Birman in their well known papers [15], [8]. Applications to partial differential operators in terms of boundary conditions and other approaches are discussed in [13]. Recently in [6] a new approach has been proposed.

The problem of existence and description of quasi-self-adjoint m -accretive extensions of a nonnegative symmetric operator via fractional-linear transformation has been solved in [5] and via abstract boundary conditions in [17], [16], [3], [10], [11]. We note that the reader can find references related to the described problem in the survey [6]. Here we announce new results based on the method developed in [6].

Following the Krein's notations [15] we denote by $S[\cdot, \cdot]$ the closure of the form (Su, v) and by $D[S]$ its domain for non-negative symmetric operator S . The same notations we preserve for the case of a nonnegative linear relation. As it is well known [14], [15] the Friedrichs non-negative self-adjoint extension S_F of S is defined as a non-negative self-adjoint extension associated with the form $S[\cdot, \cdot]$. Clearly, $D(S_F) = D[S] \cap D(S^*)$, $S_F = S^*|_{D(S_F)}$. We would like to mention basic results of the theory of nonnegative self-adjoint extensions that have been established by M. Krein [15]. He showed that a non-negative symmetric operator S admits, so called, minimal non-negative self-adjoint extension. This extension we call the Krein-von Neumann extension S_K . The operator S_K can be defined as follows: $S_K = \left((S^{-1})_F \right)^{-1}$, where S^{-1} denotes in this context the inverse nonnegative linear relation to the graph S . Thus, for every non-negative self-adjoint extension \bar{S} of S the inequality holds in sense of the associated quadratic forms: $S_K \leq \bar{S} \leq S_F$. It was shown in [2] that the relations below take place:

$$D[S_K] = \left\{ u \in H : \sup_{f \in D(S)} \frac{|(u, Sf)|^2}{(Sf, f)} < \infty \right\}, \quad \sup_{f \in D(S)} \frac{|(u, Sf)|^2}{(Sf, f)} = S_K[u], \quad u \in D[S_K].$$

In addition $D[\bar{S}] = D[S] + N_z \cap D[\bar{S}]$, where $N_z = \text{Ker}(S^* - zI)$ are the defect subspaces of S .

MAIN RESULTS

Consider the domain $D(S^*)$ of an operator S^* as a Hilbert space H_+ with the inner product $(f, g)_+ = (f, g) + (S^*f, S^*g)$. The (+)-orthogonal decomposition holds $H_+ = D(S) \oplus N_i \oplus N_{-i}$. Let N_F be (+)-orthogonal complement of $D(S)$ in $D(S_F)$ and let M_F be (+)-orthogonal complement of $D(S_F)$ in H_+ . So, we have $H_+ = D(S) \oplus N_F \oplus M_F$. It is easy to see that $N_F = (S_F + iI)^{-1} N_i = (S_F - iI)^{-1} N_{-i}$.

and $\mathbf{N}_F = (I + V_F)\mathbf{N}_i$, $\mathbf{M}_F = (I - V_F)\mathbf{N}_i$, where V_F is an isometry from \mathbf{N}_i onto \mathbf{N}_{-i} which determines a self-adjoint extension S_F by the von Neumann formula $D(S_F) = D(S) \dot{+} (I + V_F)\mathbf{N}_i$. The following relations hold: $\mathbf{N}_F = \{f \in D(S_F) : S_F f \in H_+, S^* S_F f = -f\}$, $\mathbf{M}_F = \{f \in D(S^*) : S^* f \in D(S_F), S_F S^* f = -f\}$, $\mathbf{M}_F = S_F \mathbf{N}_F$, $\mathbf{N}_F = S^* \mathbf{M}_F$.

Recall that two self-adjoint extensions \bar{S}_1 and \bar{S}_2 of a symmetric operator S are disjoint (relatively prime) if $D(\bar{S}_1) \cap D(\bar{S}_2) = D(S)$ and transversal if $D(\bar{S}_1) + D(\bar{S}_2) = D(S^*)$. The necessary and sufficient condition of transversality of the Friedrichs and Krein - von Neumann extensions is the following: $D(S^*) \subset D[S_K]$. This condition is equivalent to $\mathbf{N}_z \subset R(S_F^{1/2})$ for some (and then for all) $z \in \rho(S_F)$. It follows from the M.G. Krein result [15] that the operator S has unique non-negative self-adjoint extension iff $R(S_F^{1/2}) \cap \mathbf{N}_F = \{0\}$. Suppose that

$$\mathbf{N}_0 = R(S_F^{1/2}) \cap \mathbf{N}_F \neq \{0\}, \quad (2)$$

and define a non-negative sesquilinear form

$$\omega_0[e, g] = (S_F^{1/2}e, S_F^{1/2}g) + (\hat{S}_F^{-1/2}e, \hat{S}_F^{-1/2}g) = (\hat{S}_F^{-1/2}e, \hat{S}_F^{-1/2}g)_+, \quad e, g \in \mathbf{N}_0. \quad (3)$$

The form ω_0 is closed in the Hilbert space H_+ and $\omega_0[e] \geq 2\|e\|^2$ for all $e \in \mathbf{N}_0$. Let \mathbf{W}_0 be a (+)-nonnegative self-adjoint linear relation in \mathbf{N}_F associated with ω_0 given by (3). In view of $\omega_0[f] > 0$ for all $f \neq 0 \in \mathbf{N}_0$, the inverse l.r. \mathbf{W}_0^{-1} is densely defined in \mathbf{N}_F and therefore is the graph of a (+)-self-adjoint nonnegative operator. We denote this operator by W_0^{-1} . The next theorem gives a description of all non-negative self-adjoint extensions of S and their associated closed forms in terms of W_0^{-1} and some auxiliary operators in \mathbf{N}_F .

Theorem 1. [6] Let condition (2) be fulfilled. Then the formulas

$$\begin{aligned} D(\bar{S}) &= D(S) \oplus (I + S_F \bar{U})D(\bar{U}), \\ \bar{S}(f_0 + e + S_F \bar{U}e) &= S_F(f_0 + e) - \bar{U}e, \quad f_0 \in D(S), e \in D(\bar{U}), \\ D[\bar{S}] &= D[S] \dot{+} S_F R(\bar{U}^{1/2}), \\ \bar{S}[f + S_F h] &= \|S_F^{1/2}f - \hat{S}_F^{-1/2}h\|^2 + \bar{U}^{-1}[h] - \omega_0[h], \quad f \in D(S), h \in R(\bar{U}^{1/2}), \end{aligned}$$

give a one-to-one correspondence between all nonnegative self-adjoint extensions \bar{S} of S , their associated closed forms and all (+)-self-adjoint operators \bar{U} in \mathbf{N}_F .

satisfying the condition $0 \leq \bar{U} \leq W_0^{-1}$. An extension \bar{S} coincides with S_K iff $\bar{U} = W_0^{-1}$. The extensions S_F and S_K are disjoint if and only if N_0 is a dense in N_F and transversal if and only if $N_0 = N_F$. Here $\hat{S}_F^{-1/2} := \left(S_F^{1/2} \left| R(S_F^{1/2}) \right| \right)^{-1}$ stands for Moore-Penrose pseudo-inverse.

The theorem below plays an essential role in the investigation of quasi-self-adjoint m -accretive extensions.

Theorem 2. [4]. Let S be a nonnegative symmetric operator and let \bar{S} be a maximal accretive extension of S . The following conditions are equivalent:

- 1) $\bar{S} \subset S^*$,
- 2) $D(\bar{S}) \subset D[S_K]$ and $\operatorname{Re}(\bar{S}f, f) \geq S_K[f]$ for all $f \in D(\bar{S})$,
- 3) $|(Sg, f)|^2 \leq (Sg, g) \operatorname{Re}(\bar{S}f, f)$ for all $f \in D(\bar{S})$, $g \in D(S)$.

The extension \bar{S} is quasi-self-adjoint and m - α -sectorial if and only if $D(S) \subset D(S_K^{1/2})$ and the sesquilinear form $(\bar{S}f, h) - (S_K^{1/2}, S_K^{1/2}h)$, $f, h \in D(\bar{S})$ is sectorial with the same semi-angle α and the vertex at the origin.

Next theorem gives the parametrization of all quasi-self-adjoint maximal accretive and maximal sectorial extensions of S .

Theorem 3. The formulas

$$\begin{aligned} D(\bar{S}) &= D(S) \oplus (I + S_F \bar{U}) D(\bar{U}), \\ \bar{S}(\varphi + h + S_F \bar{U}h) &= S_F(\varphi + h) - \bar{U}h, \quad \varphi \in D(S), h \in D(\bar{U}) \end{aligned} \quad (4)$$

give a one-to-one correspondence between all quasi-self-adjoint and maximal accretive extensions \bar{S} of S and all $(+)$ -maximal accretive operators \bar{U} in N_F satisfying the condition

$$R(\bar{U}) \subset N_0 \text{ and } \operatorname{Re} \left((\bar{U} P_{\bar{U}})^{-1} e, e \right)_+ \geq \omega_0[e] \text{ for all } e \in R(\bar{U}), \quad (5)$$

where: $P_{\bar{U}}$ is the $(+)$ -orthogonal projection in N_F onto $\overline{R(\bar{U})}$.

The extension \bar{S} given by (4) is m - α -sectorial if and only if:

\bar{U} in N_F is $(+)$ -maximal accretive, $R(\bar{U}) \subset N_0$, the form

$$\left((\bar{U} P_{\bar{U}})^{-1} e, h \right)_+ - \omega_0[e, h], \quad e, h \in D(\bar{U}) \quad (6)$$

is sectorial with the semi-angle α and the vertex at the origin.

The extension \bar{S} given by (4) is relatively prime with S_F if and only if the operator \bar{U} is invertible and transversal to S_F iff \bar{U}^{-1} is bounded.

Proposition 1. Suppose that the operator W_0^{-1} is (+)-bounded in \mathbf{N}_F . Then

1) the formula:

$$\bar{U} = \frac{1}{2}W_0^{-1} + \frac{1}{2}W_0^{-1/2}\bar{Z}W_0^{-1/2}; \quad (7)$$

gives one-to-one correspondence between (+)-maximal accretive operators \bar{U} in \mathbf{N}_F , satisfying condition (5) and (+)-contractive operators \bar{Z} in $\overline{\mathbf{N}_0}$,

2) the formula (7) gives one-to-one correspondence between operators \bar{U} in \mathbf{N}_F , satisfying condition (6) and operators \bar{Z} in $\overline{\mathbf{N}_0}$, such that $\|\bar{Z} \sin \alpha \pm i \cos \alpha I\| \leq 1$.

Let M_0 be the linear fractional transformation of W_0^{-1} :

$$M_0 = (W_0^{-1} - I)(W_0^{-1} + I)^{-1}. \quad (8)$$

Then M_0 is a (+)-contraction in \mathbf{N}_F .

Theorem 4. There is the one-to-one correspondence between quasi-self-adjoint maximal accretive extensions \bar{S} of a nonnegative symmetric operator S and (+)-contractions \bar{Y} in $\overline{\mathbf{N}_0}$. This correspondence is given by the formulas:

$$\begin{aligned} D(\bar{S}) &= D(S) \oplus (I + S_F \bar{U}) D(\bar{U}), \\ \bar{U} &= (I - \bar{U})(I + \bar{U})^{-1}, \\ \bar{U} &= I - \frac{1}{2}(I + M_0)^{1/2}(I + \bar{Y})(I + M_0)^{1/2}, \end{aligned}$$

where; M_0 is given by (8). The extension \bar{S} is quasi-self-adjoint and m - α -sectorial if and only if the operator \bar{Y} satisfies the condition $\|\bar{Y} \sin \alpha \pm i \cos \alpha I\| \leq 1$.

1-D SHRODINGER OPERATOR WITH δ' INTERACTIONS

Next we give applications of the above mentioned results to point-interaction in \mathbf{R} [1]. Let $y_1, y_2, \dots, y_m \in \mathbf{R}$. Consider operator S defined as follows:

$$D(S) = \left\{ \varphi(x) \in H_2^2(\mathbf{R}) : \varphi'(y_j) = 0, j = 1, \dots, m \right\}, \quad S\varphi = -\frac{d^2\varphi}{dx^2}, \quad (9)$$

where: $H_2^2(\mathbf{R})$ is the Sobolev space. As it is well known [1] the operator S is symmetric and non-negative in $L^2(\mathbf{R}, dx)$ with defect numbers $\langle m, m \rangle$ and its Friedrichs extension S_F is given by:

$$D(S_F) = H_2^2(\mathbf{R}), \quad S_F = -\frac{d^2}{dx^2}.$$

Let $F f = \hat{f}(p) = s - \lim_{R \rightarrow \infty} (2\pi)^{-1/2} \int_{|x| \leq R} f(x) \exp(-ixp) dx$ be the Fourier transform. In the p -representation we obtain the nonnegative symmetric operator A and its Friedrichs extension A_F :

$$D(A) = \left\{ h(p) \in L^2(\mathbf{R}, dp), \int_{\mathbf{R}} \mathbf{h}(p) p \exp(ip y_j) dp = 0, j = 1, \dots, m \right\},$$

$$D(A_F) = H_2(\mathbf{R}) := L^2(\mathbf{R}, (p^4 + 1) dp),$$

$$Ah = p^2 h(p), h(p) \in D(A), A_F f = p^2 f(p), f(p) \in D(A_F).$$

Let $e_j(p) = p \exp(-ipy_j) (1 + p^4)^{-1}$, $j = 1, \dots, m$. Clearly,

$$\mathbf{N}_F = \text{span}\{e_1(p), \dots, e_m(p)\}, \mathbf{M}_F = A\mathbf{N}_F = \text{span}\{p^2 e_1(p), \dots, p^2 e_m(p)\}.$$

The adjoint operator A^* is given by the following relations:

$$D(A^*) = D(A) \dot{+} \mathbf{N}_F \dot{+} \mathbf{M}_F = H_2(\mathbf{R}) \dot{+} \mathbf{M}_F,$$

$$A^* \left(f(p) + \sum_{j=1}^m \lambda_j p^2 e_j(p) \right) = p^2 f(p) - \sum_{j=1}^m \lambda_j e_j(p), f(p) \in H_2(\mathbf{R}).$$

Let $H_+ = D(A^*)$. Since $D(A_F^{1/2}) = H_1(\mathbf{R}) := L^2(\mathbf{R}, (p^2 + 1) dp)$, $A_F^{1/2} f = pf(p)$, we obtain that $A_F^{-1/2} e_j(p) \in H_1(\mathbf{R})$, $j = 1, \dots, m$. It follows that $\mathbf{N}_0 = \mathbf{N}_F$ and A_F is transversal to A_K . By the direct calculation we get the following equalities:

$$\begin{aligned} g_{kj} &= (e_k(p), e_j(p))_+ = \frac{\pi}{\sqrt{2}} \exp\left(-\frac{|y_k - y_j|}{\sqrt{2}}\right) \left(\cos \frac{|y_k - y_j|}{\sqrt{2}} - \sin \frac{|y_k - y_j|}{\sqrt{2}} \right), \\ \omega_{kj} &= (A_F^{1/2} e_k(p), A_F^{1/2} e_j(p)) + (A_F^{-1/2} e_k(p), A_F^{-1/2} e_j(p)) = \\ &= \frac{\pi}{\sqrt{2}} \exp\left(-\frac{|y_k - y_j|}{\sqrt{2}}\right) \left(\cos \frac{|y_k - y_j|}{\sqrt{2}} + \sin \frac{|y_k - y_j|}{\sqrt{2}} \right). \end{aligned}$$

Let $W_0 = \|\omega_{kj}\|_{k,j=1}^m$, $G = \|g_{kj}\|_{k,j=1}^m$. Providing calculation with the inverse Fourier transform F^{-1} we obtain

$$F^{-1} e_j(p) = g_j(x) = i \sqrt{\frac{\pi}{2}} \exp\left(-\frac{|x - y_j|}{\sqrt{2}}\right) \sin \frac{|x - y_j|}{\sqrt{2}},$$

$$F^{-1} A_F e_j(p) = h_j(x) = i \sqrt{\frac{\pi}{2}} \exp\left(-\frac{|x - y_j|}{\sqrt{2}}\right) \cos \frac{|x - y_j|}{\sqrt{2}}.$$

We have $S = F^{-1} A F$, $S_F = F^{-1} A_F F$, $S_K = F^{-1} A_K F$. From Theorem 1 we get the following description of all m -accretive extensions of S .

Theorem 5. If the operator S is given by (9), then the formulas:

$$D(\bar{S}) = \left\{ f_0(x) + \sum_{j=1}^m \lambda_j g_j(x) + \sum_{k,j=1}^m u_{kj} \lambda_k h_j(x) \right\},$$

$$f_0(x) \in D(S), (\lambda_1, \dots, \lambda_m) \in \mathbb{C}^m,$$

$$\bar{S} \left(f_0(x) + \sum_{j=1}^m \lambda_j g_j(x) + \sum_{k,j=1}^m u_{kj} \lambda_k h_j(x) \right) = -\frac{d^2}{dx^2} f_0(x) + \sum_{j=1}^m \lambda_j h_j(x) - \sum_{k,j=1}^m u_{kj} \lambda_k g_j(x),$$

establish a one-to-one correspondence between the set of all $m \times m$ matrices $U = \|u_{kj}\|_{k,j=1}^m$ satisfying the condition:

$$UG + GU^* \geq 2UW_0U^*:$$

and the set of all m -accretive extensions of S . The operator \bar{S} is m - α -sectorial if and only if:

$$\begin{cases} \operatorname{tg} \alpha \cdot (UG + GU^*) + i(UG - GU^*) \geq 2 \operatorname{tg} \alpha \cdot UW_0U^*, \\ \operatorname{tg} \alpha \cdot (UG + GU^*) - i(UG - GU^*) \geq 2 \operatorname{tg} \alpha \cdot UW_0U^*. \end{cases}$$

In particular, if $m=1$ then

$$D(\bar{S}) = \left\{ f_0(x) + \lambda \exp\left(-\frac{|x-y|}{\sqrt{2}}\right) \left(\sin \frac{|x-y|}{\sqrt{2}} + u \cos \frac{|x-y|}{\sqrt{2}} \right) \right\},$$

$$f_0(x) \in D(S), \lambda, u \in \mathbb{C}, y \in \mathbb{R},$$

$$\begin{aligned} \bar{S} \left(f_0(x) + \lambda \exp\left(-\frac{|x-y|}{\sqrt{2}}\right) \left(\sin \frac{|x-y|}{\sqrt{2}} + u \cos \frac{|x-y|}{\sqrt{2}} \right) \right) = \\ = -\frac{d^2}{dx^2} f_0(x) + \lambda \exp\left(-\frac{|x-y|}{\sqrt{2}}\right) \left(\cos \frac{|x-y|}{\sqrt{2}} - u \sin \frac{|x-y|}{\sqrt{2}} \right), \end{aligned}$$

where: u satisfies the conditions: 1) $\left(\operatorname{Re} u - \frac{1}{2}\right)^2 + (\operatorname{Im} u)^2 \leq \frac{1}{4}$ for m -accretive extensions; 2) $\left(\operatorname{Re} u - \frac{1}{2}\right)^2 + \left(\operatorname{Im} u \pm \frac{\operatorname{ctg} \alpha}{2}\right)^2 \leq \frac{1}{4 \sin^2 \alpha}$ for m - α -sectorial extensions.

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КВАЗИСАМОСПРЯЖЕННЫЕ МАКСИМАЛЬНЫЕ АККРЕТИВНЫЕ РАСШИРЕНИЯ НЕОТРИЦАТЕЛЬНЫХ СИММЕТРИЧЕСКИХ ОПЕРАТОРОВ

Юрий Арлинский, Юрий Ковалев, Эдуард Цекановский

Аннотация. Мы даем новую параметризацию всех квазисамоспряженных максимальных аккретивных расширений и максимальных секториальных расширений (с центром в начале координат и острым полууглом α) для плотно определенного замкнутого неотрицательного симметрического оператора. Рассматриваем применение к точечным взаимодействиям на вещественной прямой.

Ключевые слова. Неотрицательный симметрический оператор, квазисамоспряженный, аккретивный, секториальный, расширение, трансверсальные операторы, точечные взаимодействия.